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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

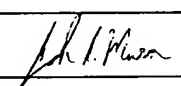
(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	10/087,716	
	<b>Filing Date</b>	March 01, 2002	
	<b>First Named Inventor</b>	Matthias Oechsner	
	<b>Group Art Unit</b>	1775	
	<b>Examiner Name</b>	unknown	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	01P05135US01


## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)  <b>Remarks</b>  Supplemental IDS is being filed with Form PTO-1449 and 4 cited references	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Return Receipt Postcard
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

<b>Firm or Individual name</b>	John P. Musone, Reg. No. 44,961
<b>Signature</b>	
<b>Date</b>	August 22, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: August 22, 2002			
<b>Typed or printed name</b>	Sandra J. Steunenberg		
<b>Signature</b>		<b>Date</b>	August 22, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**SIEMENS**

PATENT

Attorney Docket No. 01P05135US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

re Application of:

Inventor:	Oechsner, M.	)	Group Art Unit:	1775
Serial No.:	10/087,716	)	Examiner:	Unknown
Filed:	March 1, 2002	)		

Title: THERMAL BARRIER COATING HAVING SUBSURFACE  
INCLUSIONS FOR IMPROVED THERMAL SHOCK RESISTANCE

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)

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Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner is respectfully requested to consider and make of record the four (4) references listed on the enclosed Form PTO-1449 submitted herewith. A copy of each of the listed references is also enclosed. The references were first cited in the enclosed communication from a foreign patent office in a counterpart foreign application not more than three months prior to this filing and are being filed before the mailing date of a first Office Action on the merits, and presumably no fee is required.

As provided in 37 C.F.R. § 1.97(g) and (h), the Information Disclosure Statements filed in this case shall not be construed either as a representation that a search has been made or as an admission that the information cited in the statement is or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). The filing of

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Serial No. 10/087,716  
Atty. Doc. No. 01P05135US

the Information Disclosure Statements shall not be construed as an admission against interest in any manner.

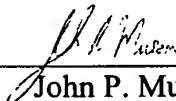
CONCLUSION

Should the Examiner have any questions concerning this paper or application, or if any undeveloped issues remain, the examiner is respectfully requested to contact Applicant's undersigned attorney by telephone to resolve such questions or issues. All correspondence should continue to be directed to our below-listed address.

The commissioner is hereby authorized to charge any appropriate fees due in connection with this paper or credit any overpayments to Deposit Account No. 19-2179.

Respectfully submitted,

Dated: 8/22/02

By:   
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Siemens Corporation  
Intellectual Property Department  
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